

# United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/611,652 06/30/2003

Bloomberg Lp

3524/169

29858 BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP 900 THIRD AVENUE NEW YORK, NY 10022 CONFIRMATION NO. 2021
FORMALITIES LETTER
\*OC000000010911527\*

Date Mailed: 09/24/2003

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 750 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### **Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$1024 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1904 for a Large Entity

\$750 Statutory basic filing fee.

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1024
  - \$72 for 4 total claims over 20.
  - \$672 for 8 independent claims over 3.
  - **\$280** for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

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Effective OCTOBER 1, 2003, Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

See   www.uspto.gov for complete FY 2003	EXAMPLES OF FEES BEING ADJUSTED		* Indicates
Basic filing fee - Utility   \$750   \$770	See www.uspto.gov for complete FY 2003		fees remain
DESCRIPTION         FY 2003         FY 2004           Basic filing fee - Utility         \$750         \$770           Basic filing fee - Utility (Small Entity)         \$375         \$385           Independent claims in excess of three         \$84         \$86           Independent claims in excess of twenty         \$18         \$18           Claims in excess of twenty (Small Entity)         \$9         \$9°           Multiple dependent claim         \$280         \$290           Multiple dependent claim (Small Entity)         \$140         \$145           Surcharge - Late filing fee         \$130         \$130           Surcharge - Late filing fee (Small Entity)         \$65         \$65           Design filing fee         \$330         \$340           Design filing fee (Small Entity)         \$165         \$170           Plant filing fee (Small Entity)         \$260         \$250           Reissue filing fee (Small Entity)         \$260         \$260           Reissue independent claims         \$84         \$86           Reissue independent claims (Small Entity)         \$375         \$385           Reissue claims in excess of twenty         \$18         \$18*           Reissue claims in excess of twenty         \$18         \$18* <t< td=""><td>and FY-2004 Fee Schedules</td><td></td><td></td></t<>	and FY-2004 Fee Schedules		
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Extension - Third month         \$930         \$950           Extension - Third month (Small Entity)         \$465         \$475           Extension - Fourth month         \$1,450         \$1,480           Extension - Fourth month (Small Entity)         \$725         \$740           Extension - Fifth month         \$1,970         \$2,010           Extension - Fifth month (Small Entity)         \$985         \$1,005           Petition         \$130         \$130*			
Extension - Third month (Small Entity)       \$465       \$475         Extension - Fourth month       \$1,480       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - Second month (Small Entity)		\$210
Extension - Fourth month       \$1,450       \$1,480         Extension - Fourth month (Small Entity)       \$725       \$740         Extension - Fifth month       \$1,970       \$2,010         Extension - Fifth month (Small Entity)       \$985       \$1,005         Petition       \$130       \$130*	Extension - Third month	\$930	\$950
Extension - Fourth month (Small Entity)       \$725'       \$740         Extension - Fifth month       \$1,970'       \$2,010         Extension - Fifth month (Small Entity)       \$985'       \$1,005'         Petition       \$130'       \$130'		\$465	\$475
Extension - Fourth month (Small Entity)       \$725'       \$740         Extension - Fifth month       \$1,970'       \$2,010         Extension - Fifth month (Small Entity)       \$985'       \$1,005'         Petition       \$130'       \$130'	Extension - Fourth month	\$1,450	\$1,480
Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130	Extension - Fourth month (Small Entity)	\$725	\$740
Extension - Fifth month (Small Entity) \$985 \$1,005 Petition \$130	Extension - Fifth month	\$1,970	\$2,010
Petition \$130 \$130*			\$1,005
Processing returned checks \$50' \$50*	· ·	\$130	
	Processing returned checks	\$50!	\$50*

For further fee information, please call (703-308-HELP)